

Appendix 1 - Audit Plan Monitoring 2019-20  
YEAR-END POSITION

	Service	Plan Days	Status	Fieldwork started	Report issued to Management	Finalised	Opinion: Level of Assurance	High Priority Recs	Medium Priority Recs	Low Priority Recs
Access to Housing (Homelessness)	Housing and Property	15	Final report	n	n	n	Substantial	0	3	2
Housing Register and Allocations	Housing and Property	12	Final report	n	n	n	Substantial	0	0	2
Recycling management and income	Contract and Technical	10	Final report	n	n	n	Substantial	0	1	0
vfm - data analytics - purchases, including scheme of delegation	Business Services	12	Final report	n	n	n	Substantial	0	1	2
HMOs (Houses of Multiple Occupancy)	Commercial and Regulatory	12	Final report	n	n	n	Substantial	0	0	1
Private sector care and grants programme	Commercial and Regulatory	12	Final report	n	n	n	Moderate	0	1	1
KFC - Housing rents	Housing and Property	15	Final report	n	n	n	Moderate	0	1	0
Housing H&S – asbestos management	Housing and Property	12	Final report	n	n	n	Moderate	0	5	0
Business support - reconciliations and rents	Business Services	20	Final report	n	n	n	Limited	1	5	0
IT - change and patch management	Business Services	10	Final report	n	n	n	Limited	1	2	1
Information Management - data retention and disposals	Customer Services	20	Final report	n	n	n	Limited	2	3	3
H&S - Staff H&S in satellite offices	Commercial and Regulatory	15	Final report	n	n	n	Limited	2	4	0
Governance – Harlow and Gilston Garden Town	Chief Executive	15	In progress	n						
KFC - fixed assets	Business Services	12	In progress	n						
Performance Management - Customer Services	Customer Services	15	In progress	n						
Procurement - Contract management	Commercial and Regulatory	20	Completed				n/a			
Infrastructure Delivery Plan	Planning	12	For deferral							
TOTAL								6	26	12

Key

H&S = Health and Safety

KFC = Key Financial Controls

vfm = Value for Money