

Appendix 1 - Audit Plan Monitoring 2019-20
Progress as at January 2020

	Service	Plan Days	Status	Fieldwork started	Report issued to Management	Finalised	Opinion: Level of Assurance	High Priority Recs	Medium Priority Recs	Low Priority Recs
Private sector care and grants programme	Commercial and Regulatory	12	Final report	n	n	n	Moderate	0	1	1
Access to Housing (Homelessness)	Housing and Property	15	Final report	n	n	n	Substantial	0	3	2
Housing Register and Allocations	Housing and Property	12	Final report	n	n	n	Substantial	0	0	2
Recycling management and income	Contract and Technical	10	Final report	n	n	n	Substantial	0	1	0
vfm - data analytics - purchases, including scheme of delegation	Business Services	12	Final report	n	n	n	Substantial	0	1	2
Business support - reconciliations and rents	Business Services	20	Final report	n	n	n	Limited	1	5	0
IT - change and patch management	Business Services	10	Final report	n	n	n	Limited	1	2	1
Information Management - data retention and disposals	Customer Services	20	Draft report	n	n					
H&S - Staff H&S in satellite offices	Commercial and Regulatory	15	Draft report	n	n					
HMOs (Houses of Multiple Occupancy)	Commercial and Regulatory	12	Draft report	n	n					
Housing H&S – asbestos management	Housing and Property	12	In progress	n						
Governance – Harlow and Gilston Garden Town	Chief Executive	15	In progress	n						
KFC - Housing rents	Housing and Property	15	In progress	n						
Procurement - Contract management	Commercial and Regulatory	20	On-going							
KFC - fixed assets	Business Services	12	Scoping							
Performance Management - Customer Services	Customer Services	15	Scoping							
Infrastructure Delivery Plan	Planning	12	Possible deferral to 2020/21							
TOTAL								2	13	8

Key

H&S = Health and Safety

KFC = Key Financial Controls

vfm = Value for Money