

Appendix B - Audit Plan Monitoring 2018-19
Year End position

	Legacy Directorate	Plan Days	Status	Fieldwork started	Report issued to Management	Finalised	Opinion: Level of Assurance	High Priority Recs	Medium Priority Recs	Low Priority Recs
Debtors	Resources	12	Final Report	n	n	n	Substantial	0	1	2
IT Systems Access and Mobile Working	Resources	10	Final Report	n	n	n	Substantial	0	1	0
Business Rates / Council Tax	Resources	18	Final Report	n	n	n	Substantial	0	1	4
Business Rates - Council wide approach	Resources	15	Final Report	n	n	n	Substantial	0	1	4
HR - starters, leavers and movers	Resources	5	Final Report	n	n	n	Substantial	0	2	1
Safeguarding	Communities	12	Final Report	n	n	n	Substantial	0	0	0
Joint Working - Museum Services	Communities	12	Final Report	n	n	n	Substantial	0	0	3
Right to Buy	Communities	10	Final Report	n	n	n	Substantial	0	0	1
Health & Safety - Corporate	Neighbourhoods	12	Final Report	n	n	n	Substantial	0	0	2
Income - Commercial Rents	Neighbourhoods	15	Final Report	n	n	n	Substantial	0	0	1
Economic Development	Neighbourhoods	14	Final Report	n	n	n	Substantial	0	1	0
Performance Management - Stronger Communities	Corporate	20	Final Report	n	n	n	Substantial	0	0	0
Planning Applications	Governance	10	Final Report	n	n	n	Substantial	0	0	0
Section 106 agreements	Governance	10	Final Report	n	n	n	Substantial	0	0	3
Equality Impact Assessments	Corporate	12	Final Report	n	n	n	Moderate	0	3	1
New audit - Personal Data shared by Email with Members	Corporate	5	Final Report	n	n	n	Moderate	0	1	0
Staff Code of Conduct - Gifts and Hospitality (Officers and Members)	Corporate	10	Final Report	n	n	n	Moderate	0	5	2
Off Street Parking	Neighbourhoods	12	Final Report	n	n	n	Moderate	0	3	1
North Weald Airfield	Neighbourhoods	16	Final Report	n	n	n	Moderate	0	5	0
Creditors	Resources	12	Final Report	n	n	n	Moderate	0	2	4
IT Software Licensing	Resources	10	Final Report	n	n	n	Moderate	0	4	1
Procurement - Contract Register and GDPR	Resources	15	Final Report	n	n	n	Moderate	0	4	0
Council Housebuilding	Communities	10	Final Report	n	n	n	Moderate	0	5	1
St. John's Road	Neighbourhoods	8	Final Report	n	n	n	Limited	1	5	0
Business Continuity Planning	Neighbourhoods	12	Final Report	n	n	n	No	1	0	0
Access to Housing	Communities	20	In progress	n	n	n	Carried forward as agreed by Audit and Governance Committee			
TOTAL								2	44	31

Key
GDPR - General Data Protection Regulations