

Appendix 1 - Audit Plan Monitoring 2018-19
Progress as at January 2019

| | Directorate | Plan Days | Status | Fieldwork started | Report issued to Management | Finalised | Opinion: Level of Assurance | High Priority Recs | Medium Priority Recs | Low Priority Recs |
|--|----------------|-----------|--------------|-------------------|-----------------------------|-----------|-----------------------------|--------------------|----------------------|-------------------|
| Report to September Audit and Governance Committee | | | | | | | | | | |
| Debtors | Resources | 12 | Final Report | ■ | ■ | ■ | Substantial | 0 | 1 | 2 |
| Report to November Audit and Governance Committee | | | | | | | | | | |
| Equality Impact Assessments | Corporate | 12 | Final Report | ■ | ■ | ■ | Moderate | 0 | 3 | 1 |
| Governance - St. John's Road | Neighbourhoods | 8 | Final Report | ■ | ■ | ■ | Limited | 1 | 5 | 0 |
| Off Street Parking | Neighbourhoods | 12 | Final Report | ■ | ■ | ■ | Moderate | 0 | 3 | 1 |
| Creditors | Resources | 12 | Final Report | ■ | ■ | ■ | Moderate | 0 | 2 | 4 |
| IT Software Licensing | Resources | 10 | Final Report | ■ | ■ | ■ | Moderate | 0 | 4 | 1 |
| Report to January Audit and Governance Committee | | | | | | | | | | |
| IT Systems Access and Mobile Working | Resources | 10 | Final Report | ■ | ■ | ■ | Substantial | 0 | 1 | 0 |
| Staff Code of Conduct - Gifts and Hospitality (Officers and Members) | Corporate | 10 | Draft Report | ■ | ■ | | Moderate | | | |
| Business Rates / Council Tax | Resources | 18 | Draft Report | ■ | ■ | | Substantial | | | |
| Report to March Audit and Governance Committee | | | | | | | | | | |
| Procurement - Contract Register and GDPR | Resources | 15 | In Progress | ■ | | | | | | |
| Business Rates - Council wide approach | Resources | 15 | In Progress | ■ | | | | | | |
| Joint Working - Museum Services | Communities | 12 | In Progress | ■ | | | | | | |
| Business Continuity Planning | Neighbourhoods | 12 | In Progress | ■ | | | | | | |
| Right to Buy | Communities | 10 | Scoping | | | | | | | |
| Health & Safety - Corporate | Neighbourhoods | 12 | Scoping | | | | | | | |
| Planning Applications | Governance | 10 | Scoping | | | | | | | |
| Project - Council Housebuilding | Communities | 10 | Scoping | | | | | | | |
| Performance Management - Stronger Communities | Corporate | 20 | Scoping | | | | | | | |
| Safeguarding | Communities | 12 | Scoping | | | | | | | |
| Access to Housing | Communities | 20 | | | | | | | | |
| Income - Commercial Rents | Neighbourhoods | 15 | | | | | | | | |
| Economic Development | Neighbourhoods | 14 | | | | | | | | |
| Report to June/July Audit and Governance Committee | | | | | | | | | | |
| S106 agreements | Governance | 10 | | | | | | | | |
| HR - starters, leavers and movers | Resources | 5 | | | | | | | | |
| North Weald Airfield | Neighbourhoods | 16 | | | | | | | | |