Appendix 1 - Audit Plan Monitoring 2018-19
Progress as at September 2018

	Directorate	Plan Days		Status	Fieldwork started	Report issued to Management	Finalised	Opinion: Level of Assurance	High Priority Recs	Medium Priority Recs	Low Priority Recs
Quarter 1											
Debtors	Resources	12		Final report	■	■	■	Substantial	0	1	2
Governance - St. John's Road	Neighbourhoods	8		In Progress	■						
Off Street Parking	Neighbourhoods	12		In Progress	■						
Joint Working -Museum Services	Communities	12		In Progress	■						
North Weald Airfield	Neighbourhoods	16		Scoping							
IT Software Licensing	Resources	10		Scoping							
Quarter 2											
Procurement - Contract Register and GDPR	Resources	15		Scoping							
Creditors	Resources	12		Scoping							
Right to Buy	Communities	10		Scoping							
Equality Impact Assessments	Corporate	12		Scoping							
Code of Conduct - Gifts and Hospitality	Corporate	10		Scoping							
Business Rates - Council wide approach	Resources	15									
Quarter 3											
Economic Development	Neighbourhoods	14									
Access to Housing	Communities	20									
Safeguarding	Communities	12									
Business Rates / Council Tax	Resources	18									
IT Systems Access and Mobile Working	Resources	10									
Performance Management - Stronger Council	Corporate	20									
Quarter 4											
Health & Safety - Corporate	Neighbourhoods	12									
Income - commercial rents	Neighbourhoods	15									
Emergency planning	Neighbourhoods	12									
Project - council housebuilding	Communities	10									
Planning Applications	Governance	10									
S106 agreements	Governance	10									
HR - starters, leavers and movers	Resources	5									