## Appendix 1 - Audit Plan Monitoring 2017-18 February 2018

	Directorate	Plan Days	Status	Fieldwork started	Report issued to Management	Finalised	Opinion: Level of Assurance	High Priority Recs	Medium Priority Recs	Low Priority Recs
Cyber security	Resources	16	Final report	n	n	n	Substantial	0	1	0
Health & Safety - Lone Working	Resources	10	Final report	n	n	n	Substantial	0	3	0
Health & Safety - Fire Risk Assessments	Communities	10	Final report	n	n	n	Moderate	0	2	0
House building	Communities	10	Final report	n	n	n	Substantial	0	4	0
Community Safety - joint working	Communities	10	Final report	n	n	n	Substantial	0	0	1
Planning Applications	Governance	5	Final report	n	n	n	Substantial	0	0	0
Local Plan - Staff Resources	Neighbourhoods	5	Final report	n	n	n	Substantial	0	0	0
Income - Fleet Operations	Neighbourhoods	12	Final report	n	n	n	Substantial	0	0	0
Planned maintenance and major works	Communities	12	In Progress	n						
Income - card payments	Resources	15	In Progress	n						
Capital projects programme	Resources	14	In Progress	n						
Accounting system/General Ledger	Key Financial Control	10	In Progress	n						
Debtors	Key Financial Control	12	In Progress	n						
Commercial property - service charges	Neighbourhoods	12	In Progress	n						
Payroll inc new HR/payroll system	Key Financial Control	14	Scoping							
Housing Benefits	Key Financial Control	10	Scoping							
Asset Management Strategy	Neighbourhoods	10	Scoping							
Governance: St John's Road	Neighbourhoods	10	Scoping							
Leisure management contract	Neighbourhoods	12	Scoping							
Agency staff	Resources	12	Scoping							
HR absence management	Resources	12	Scoping							
Business Continuity Planning	Neighbourhoods	10	Ongoing							
Contract register	Resources	15	Ongoing							
Safeguarding	Communities	10								
Anti Social Behaviour	Communities	12								
North Weald Airfield - establishment audit	Neighbourhoods	12								
Additional audits										

Health & Safety - Townmead Depot follow up	Neighbourhoods	5	Final Report	n	n	n	n/a	1	0	0
Defer subject to approval										
Complaints	Chief Executive	10								
Equality Impact Assessments	Chief Executive	12								
								1	10	1
ToR = Terms of Reference		•		-	-	-		-	-	-