

# ***Report to the Finance and Performance Management Cabinet Committee***



**Epping Forest  
District Council**

***Report Reference: FPM-019-2017/18***

***Date of meeting: 18 January 2018***

**Portfolio: Finance**

**Subject: Risk Management – Corporate Risk Register**

**Officer contact for further information: Edward Higgins – (01992 – 564606)**

**Democratic Services Officer: Rebecca Perrin - (01992 – 564532)**

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## **Recommendations/Decisions Required:**

- 1. To agree the updated risk Vulnerability, Controls and Management actions for Risk 1;**
- 2. To agree the updating of the Effectiveness of controls/actions for Risk 2;**
- 3. To agree the revised Key date for Risk 4;**
- 4. To agree the updated Vulnerability for Risk 7;**
- 5. To agree the revised Key date for Risk 9;**
- 6. To agree the new Risk 11 - Transformation Programme;**
- 7. To consider whether there are any new risks that are not on the current Corporate Risk Register; and**
- 8. To agree that the amended Corporate Risk Register be recommended to Cabinet for approval,**

## **Executive Summary:**

The Corporate Risk Register was considered by the Risk Management Group on 12 December 2017. The minutes of that meeting were then reviewed by Management Board. These reviews identified amendments and one new risk for the Corporate Risk Register.

## **Reasons for Proposed Decisions:**

It is essential that the Corporate Risk Register is regularly reviewed and kept up to date.

## **Other Options for Action:**

Members may suggest new risks for inclusion or changes to the scoring of existing risks.

## **Report:**

- 1. The Corporate Risk Register was reviewed by the Risk Management Group on 12 December 2017 with subsequent review by Management Board. Amendments have been identified and incorporated into the register (Appendix 1).**

2. Risk 1 Local Plan – The risk has been updated following the Submission Version 2017 of the Local Plan being agreed by Council at the Extraordinary Meeting on 14 December. The risk vulnerability has been amended to reflect the submission deadline of 31 March 2018. Controls and Required further management actions have also been updated.
3. Risk 2 Strategic Sites – The Effectiveness of controls/actions have been amended to advise the updated position for the key sites. Construction has started at Waltham Abbey Leisure Centre, contractors are digging out the swimming pool. Marketing of the Pyrles Lane Nursery site will commence in January 2018.
4. Risk 4 Finance Income – the Key date has been updated to advise that the budget will be considered at Council on 22<sup>nd</sup> February 2018.
5. Risk 7 Business Continuity – The risk vulnerability has been amended to note the need for business continuity responsibilities to be updated following the re-organisation.
6. Risk 9 Safeguarding – The Key date has been amended to reflect the revised submission date for ESCB (safeguarding Children) audit of May 2018.
7. New Risk 11 Transformation Programme – The major programme to modernise working practices and improve efficiency has now reached a key stage and should be included in the Corporate Risk Register. The Vulnerability, Triggers and Consequence along with supporting controls have been set out and the risk has been scored A1 (Very High Likelihood/Major Impact).
8. Members are now asked to consider the attached updated Corporate Risk Register and whether the risks listed are scored appropriately and whether there are any additional risks that should be included.

**Resource Implications:**

No additional resource requirements.

**Legal and Governance Implications:**

The Corporate Risk Register is an important part of the Council's overall governance arrangements and that is why this Committee considers it on a regular basis.

**Safer, Cleaner, Greener Implications:**

None.

**Consultation Undertaken:**

The Risk Management Group and Management Board have been involved in the process.

**Background Papers:**

None.

**Impact Assessments:**

Risk Management

If the Corporate Risk Register was not regularly reviewed and updated a risk that threatened the achievement of corporate objectives might either not be managed or be managed inappropriately.

## Due Regard Record

This page shows **which groups of people are affected** by the subject of this report. It sets out **how they are affected** and how any **unlawful discrimination** they experience can be eliminated. It also includes information about how **access to the service(s)** subject to this report can be improved for the different groups of people; and how they can be assisted to **understand each other better** as a result of the subject of this report.

S149 Equality Act 2010 requires that due regard must be paid to this information when considering the subject of this report.

Date / Name	Summary of equality analysis
05/01/18  Director of Resources	The purpose of the report is to monitor corporate risks. It does not propose any change to the use of resources and so has no equalities implications.