

Appendix 1 - Audit Plan Monitoring 2017-18

	Directorate	Plan Days	Status	Fieldwork started	Report issued to Management	Finalised	Opinion: Level of Assurance	Priority 1 Recs	Priority 2 Recs	Priority 3 Recs
Quarter 1										
Cyber security	Resources	16	TofR issued	n						
Health & Safety - Fire Risk Assessments	Communities	10	TofR issued	n						
House building (c/f)	Communities	10	Scoping							
Planning Applications (c/f)	Governance	5	Scoping							
Income - card payments	Resources	15	Scoping							
Health & Safety - lone working	Resources	10	Scoping							
Planned maintenance and major works	Communities	12								
Local Plan - internal resources	Neighbourhoods	5								
North Weald Airfield - establishment audit	Neighbourhoods	12								
Quarter 2										
Governance: St John's Road	Neighbourhoods	10								
Community Safety	Communities	10								
Contract register	Resources	15								
Asset Management strategy	Neighbourhoods	10								
Payroll inc new HR/payroll system	Key Financial Control	14								
Commercial property - service charges	Neighbourhoods	12								
Income - community halls	Communities	12								
Quarter 3										
vfm - agency staff	Resources	12								
Accounting system/GL	Key Financial Control	10								
Debtors	Key Financial Control	12								
Capital projects programme	Resources	14								
Housing Benefits	Key Financial Control	10								
Safeguarding	Communities	10								
Leisure management contract	Neighbourhoods	12								
Quarter 4										

